



Serial No. 09/829,529  
Express Mail Cert. No. EL 834 335 901 US  
Page 1  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Tambay et al.  
Serial No.: 09/829,529  
Filing Date: April 10, 2001  
For: **Systems And Methods For Facilitating Transactions  
In A Commodity Marketplace**  
Examiner: To Be Assigned  
Art Unit: 2161

Assistant Commissioner of Patents  
Box Missing Parts  
Washington, DC 20231

Sir:

**REPLY TO NOTICE TO FILE MISSING PARTS**

Enclosed herewith for filing in the above-identified application are the following documents:

- 1) Request for Extension of Time Pursuant To 37 C.F.R. §1.136(a);
- 2) Notice to File Missing Parts of Nonprovisional Application (Part 2);
- 3) Executed Declaration and Power of Attorney of Bowen;
- 4) Executed Declaration and Power of Attorney of Tambay
- 5) Executed Declaration and Power of Attorney of Lettich and Caldwell; and
- 6) Substitute Formal Drawings in compliance with 37 C.F.R. 1.84; and
- 7) Checks in the amount of \$130.00 & \$920.00

The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Date: 10/15/01

Respectfully submitted,

Charles W. Calkins  
Reg. No. 31,814

KILPATRICK STOCKTON LLP  
1001 West Fourth Street  
Winston-Salem, North Carolina 27101-2400  
(336) 607-7300



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/829,529	04/10/2001	Roger Tambay	32887-256195

CONFIRMATION NO. 8455

## FORMALITIES LETTER



\*OC00000006077399\*

Charles W. Calkins  
Kilpatrick Stockton LLP  
1001 West Fourth Street  
Winston-Salem, NC 27282

Date Mailed: 05/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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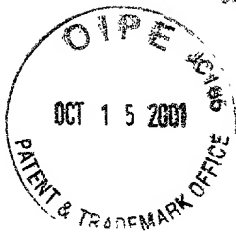
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Initial Patent Examination Division (703) 308-1202

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#3 Sector

# EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number:

EL 834 335 901 US

Date of Deposit:

October 15, 2001

Type of Document(s):

Request for Extension of Time Pursuant  
To 37 C.F.R. §1.136(a) (*In Duplicate*);

Reply to Notice to File Missing  
Parts (*In Duplicate*);

Notice to File Missing Parts of  
Nonprovisional Application (Part 2);

Executed Declaration and Power of Attorney  
Of Bowen;

Executed Declaration and Power of Attorney  
Of Tambay;

Executed Declaration and Power of Attorney  
Of Lettich & Caldwell;

Five (5) Sheets of Formal Drawings;

Checks for \$130.00 & \$920.00; and

Return Postcard

Serial No.:

09/829,529

Date Filed:

April 10, 2001

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

  
Kim Elzea (Signature)